

RECEIVED
CENTRAL FAX CENTER

Feb-21-07 03:32pm From-BST&Z

FEB 21 2007

310 820 5988

T-594 P.001/015 F-915

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

TELEPHONE: (310) 207-3800

INTELLECTUAL PROPERTY LAW
12400 WILSHIRE BOULEVARD, 7TH FLOOR
LOS ANGELES, CA 90025

FACSIMILE: (310) 820-5988
(310) 820-5720

FACSIMILE COVER SHEET

Deliver to: Chen, Tse W., USPTO Art Group: 2116
Facsimile No.: (571) 273-8300 Date: February 21, 2007
From: Thomas M. Coester, Reg. No. 39,637
Our Docket No.: 42390P9143 Number of pages 15 including this sheet.
Application No.: 10/073,495 Filing Date: 2/11/2002
Docket Due Date(s): 4/5/2007

Enclosed are the following documents:

- | | |
|--|---|
| <input checked="" type="checkbox"/> Amendment: <u>After Final</u> (<u>11</u> pgs) | <input type="checkbox"/> Issue Fee Transmittal |
| <input type="checkbox"/> Appeal Brief (<u> </u> pgs) | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> Application: <u> </u> | <input type="checkbox"/> Petition for: <u> </u> |
| (<u> </u> pgs) w/cover & abstract) | <input type="checkbox"/> Request for Continued Examination (RCE) |
| <input type="checkbox"/> Assignment & Cover Sheet (<u> </u> pgs) | <input type="checkbox"/> Reply Brief (<u> </u> pgs) |
| <input checked="" type="checkbox"/> Certificate of Facsimile <u> </u> | <input type="checkbox"/> Request & Certification Under 35 USC 122(b)(2)(B)(I) |
| <input type="checkbox"/> Continued Prosecution Application (CPA) | <input type="checkbox"/> Request to Rescind Previous Nonpublication Request |
| <input type="checkbox"/> Declaration & POA (<u> </u> pgs) | <input type="checkbox"/> Response to Notice of Missing Parts & Formalities Letter |
| <input type="checkbox"/> Drawings: <u> </u> sheets, <u> </u> figures | <input type="checkbox"/> Response to Written Opinion (<u> </u> pgs) |
| <input type="checkbox"/> Extension of Time: <u> </u> | <input type="checkbox"/> Terminal Disclaimer |
| <input checked="" type="checkbox"/> Fee Transmittal (in duplicate) | <input type="checkbox"/> Transmittal of Publication Fee Due |
| <input type="checkbox"/> IDS & PTO/SB/08 (<u> </u> pgs) | <input checked="" type="checkbox"/> Transmittal Letter |
| <input type="checkbox"/> Other <u> </u> | |

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.84)

I hereby certify that this correspondence is being transmitted by facsimile on the date shown below to the United States Patent and Trademark Office.


Jean Svoboda

2/21/2007
Date

Confidentiality Note: The documents accompanying this facsimile transmission contain information from the law firm of Blakely, Sokoloff, Taylor & Zafman which is confidential or privileged. The information is intended to be for the use of the individual or entity named on this transmission sheet. If you are not the intended recipient, be aware that any disclosure, copying, distribution or use of the contents of this faxed information is prohibited. If you have received this facsimile in error, please notify us by telephone immediately so that we can arrange for the retrieval of the original documents at no cost to you.

If you do not receive all the pages, or if there is any difficulty in receiving, please call: (310) 207-3800 and ask for Jean Svoboda.

FEB 21 2007

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application No.	10/073,495
		Filing Date	February 11, 2002
		First Named Inventor	William A. Stevens, Jr.
		Art Unit	2116
		Examiner Name	Chen, Tse W.
Total Number of Pages in This Submission	14	Attorney Docket Number	42390P9143

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Fax Transmittal</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or individual name	Thomas M. Coester, Reg. No. 39,637 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	<i>Thomas Coester</i>
Date	February 21, 2007

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being transmitted via facsimile on the date shown below to the United States Patent and Trademark Office.			
Typed or printed name	Jean Svoboda	Date	February 21, 2007
Signature	<i>Jean Svoboda</i>	Date	February 21, 2007

Based on PTO/SB/21 (09-04) as modified by Blakely, Sokoloff, Taylor & Zafman (ndc) 10/12/2006.
 SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

FEB 21 2007

COPY

FEE TRANSMITTAL for FY 2006 <small>Patent fees are subject to annual revision.</small>		Complete if Known	
		Application Number	10/073,495
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		Filing Date	February 11, 2002
		First Named Inventor	William A. Stevens, Jr.
TOTAL AMOUNT OF PAYMENT (\$)		Examiner Name	Chen, Tse W.
		Art Unit	2116
		Attorney Docket No.	42390P9143

METHOD OF PAYMENT (check all that apply)

- ☐ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify): _____
- ☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

- ☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
- ☒ Charge any additional fee(s) or underpayment of fee(s) ☒ Credit any overpayments
- under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

FEE CALCULATION**1. EXTRA CLAIM FEES**

	Extra Claims	Fee from below	Fee Paid
Total Claims	15	41* = 0	60.00 = \$0.00
Independent Claims	3	4* = 0	200.00 = \$0.00
Multiple Dependent			

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
1202 50	2202 25	Claims in excess of 20
1201 200	2201 100	Independent claims in excess of 3
1203 360	2203 180	Multiple Dependent claim, if not paid
1204 780	2204 360	**Reissue independent claims over original patent
1205 300	2205 150	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (1)		(\$)

*for number previously paid, if greater, For Reissues, see below

2. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1051 130	2051 65	Surcharge - late filing fee or cash	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
2053 130	2053 130	Non-English specification	
1251 120	2251 60	Extension for reply within first month	
1252 450	2252 225	Extension for reply within second month	
1253 1,020	2253 510	Extension for reply within third month	
1254 1,680	2254 705	Extension for reply within fourth month	
1255 2,160	2255 1,080	Extension for reply within fifth month	
1401 500	2401 250	Notice of Appeal	
1402 500	2402 250	Filing a brief in support of an appeal	
1403 1,000	2403 500	Request for oral hearing	
1451 1,510	2451 1,510	Petition to Institute a public use proceeding	
1480 130	2480 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(a)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
1809 700	1809 350	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810 780	2810 390	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify)			
SUBTOTAL (2)		(\$)	

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Thomas M. Coester	Registration No. (Attorney/Agent)	39,637	Telephone	(310) 207-3800
Signature	<i>Thomas Coester</i>	Date	02/21/07		

Based on PTO/SB/17 (12-04) as modified by Blakely, Sokoloff, Taylor & Zafman (wlr) 12/15/2004.
 SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

FEB 21 2007

**FEE TRANSMITTAL
for FY 2006**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.**TOTAL AMOUNT OF PAYMENT** (\$) 0.00**Complete If Known**

Application Number	10/073,495
Filing Date	February 11, 2002
First Named Inventor	William A. Stevens, Jr.
Examiner Name	Chen, Tse W.
Art Unit	2116
Attorney Docket No.	42390P9143

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify):☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.☒ Credit any overpayments**FEE CALCULATION****1. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
15	4	50.00	\$0.00
3	4	200.00	\$0.00
Multiple Dependent Claims			

Large Entity

Fee Code	Fee (\$)
1202	50
1201	200
1203	350
1204	790
1205	300

Small Entity

Fee Code	Fee (\$)
2202	25
2201	100
2203	180
2204	395
2205	150

Fee Description

Claims in excess of 20
Independent claims in excess of 3
Multiple Dependent claim, if not paid
Reissue independent claims over original patent
Reissue claims in excess of 20 and over original patent

SUBTOTAL (1)

(\$) 0.00

*or number previously paid, if greater. For Reissues, see below

2. ADDITIONAL FEES**Large Entity**

Fee Code	Fee (\$)
1051	130
1052	50
2053	130
1251	120
1252	450
1253	1,020
1254	1,500
1255	2,160
1401	500
1402	500
1403	1,000
1451	1,510
1480	130
1807	50
1805	180
1809	790
1810	790

Small Entity

Fee Code	Fee (\$)
2051	85
2052	25
2053	130
2251	80
2252	225
2253	510
2254	795
2255	1,080
2401	250
2402	250
2403	500
2451	1,510
2480	130
1807	50
1805	180
1809	395
2810	395

Fee Description

Surcharge - late filing fee or oath
Surcharge - late provisional filing fee or cover sheet.
Non-English specification
Extension for reply within first month
Extension for reply within second month
Extension for reply within third month
Extension for reply within fourth month
Extension for reply within fifth month
Notice of Appeal
Filing a brief in support of an appeal
Request for oral hearing
Petition to institute a public use proceeding
Petitions to the Commissioner
Processing fee under 37 CFR 1.17(e)
Submission of Information Disclosure Stmt
Filing a submission after final rejection (37 CFR § 1.129(a))
For each additional invention to be examined (37 CFR § 1.129(b))

Other fee (specify)

SUBTOTAL (2)

(\$)

Fee Paid**SUBMITTED BY**Name (Print/Type) Thomas M. CoesterRegistration No.
(Attorney/Agent)

39,637

Telephone

(310) 207-3800

Signature

Thomas Coester

Date

02/21/07

Based on PTO/SB/17 (12-04) as modified by Blakely, Sokoloff, Taylor & Zafman (wlr) 12/15/2004.
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

RECEIVED
CENTRAL FAX CENTER

FEB 21 2007

REPLY UNDER 37 CFR 1.116
EXPEDITED PROCEDURE
TECHNOLOGY CENTER 2100

Docket No. 42.P9143

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

William A. Stevens, Jr., et al.

Application No.: 10/073,495

Filed: February 11, 2002

For: **METHOD AND SYSTEM FOR
LINKING FIRMWARE MODULES IN
A PRE-MEMORY EXECUTION
ENVIRONMENT**

Art Unit: 2116

Examiner: Tse W. Chen

Confirmation No.: 6012

AMENDMENT AND RESPONSE TO FINAL OFFICE ACTION

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the outstanding Final Office Action of January 5, 2007, please amend the above-identified application as follows:

Amendments to the Claims begin on page 2 of this paper.

Remarks/Arguments begin on page 5 of this paper.